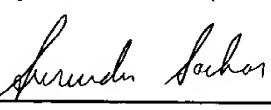


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|--|---|---|--|--|
| FORM PTO-1390 (Modified) (REV 11-2000) | | U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE | | ATTORNEY OR AGENT DOCKET NUMBER 214896US0PCT |
| TRANSMITTAL LETTER TO THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US) CONCERNING A FILING UNDER 35 U.S.C. 371 | | | U.S. APPLICATION NO. (IF KNOWN, SEE 37 CFR 09/926330 | |
| INTERNATIONAL APPLICATION NO. PCT/FR00/00966 | INTERNATIONAL FILING DATE 14 April 2000 | | PRIORITY DATE CLAIMED 14 April 1999 | |
| TITLE OF INVENTION METHOD FOR OBTAINING A. NIGER CULTURES AND THEIR USES FOR PRODUCING FERULIC ACID AND VANILLIC ACID | | | | |
| APPLICANT(S) FOR DO/EO/US BONNIN Estelle et al. | | | | |
| Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information: | | | | |
| <ol style="list-style-type: none"> <input checked="" type="checkbox"/> This is a FIRST submission of items concerning a filing under 35 U.S.C. 371. <input type="checkbox"/> This is a SECOND or SUBSEQUENT submission of items concerning a filing under 35 U.S.C. 371. <input checked="" type="checkbox"/> This is an express request to begin national examination procedures (35 U.S.C. 371(f)). The submission must include items (5), (6), (9) and (24) indicated below. <input checked="" type="checkbox"/> The US has been elected by the expiration of 19 months from the priority date (Article 31). <input checked="" type="checkbox"/> A copy of the International Application as filed (35 U.S.C. 371 (c) (2)) <ol style="list-style-type: none"> <input type="checkbox"/> is attached hereto (required only if not communicated by the International Bureau). <input checked="" type="checkbox"/> has been communicated by the International Bureau. <input type="checkbox"/> is not required, as the application was filed in the United States Receiving Office (RO/US). <input checked="" type="checkbox"/> An English language translation of the International Application as filed (35 U.S.C. 371(c)(2)). <ol style="list-style-type: none"> <input checked="" type="checkbox"/> is attached hereto. <input type="checkbox"/> has been previously submitted under 35 U.S.C. 154(d)(4). <input checked="" type="checkbox"/> Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371 (c)(3)) <ol style="list-style-type: none"> <input type="checkbox"/> are attached hereto (required only if not communicated by the International Bureau). <input type="checkbox"/> have been communicated by the International Bureau. <input type="checkbox"/> have not been made; however, the time limit for making such amendments has NOT expired. <input checked="" type="checkbox"/> have not been made and will not be made. <input type="checkbox"/> An English language translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)). <input type="checkbox"/> An oath or declaration of the inventor(s) (35 U.S.C. 371 (c)(4)). <input checked="" type="checkbox"/> An English language translation of the annexes to the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371 (c)(5)). <input type="checkbox"/> A copy of the International Preliminary Examination Report (PCT/IPEA/409). <input checked="" type="checkbox"/> A copy of the International Search Report (PCT/ISA/210). | | | | |
| Items 13 to 20 below concern document(s) or information included: <ol style="list-style-type: none"> <input type="checkbox"/> An Information Disclosure Statement under 37 CFR 1.97 and 1.98. <input type="checkbox"/> An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included. <input checked="" type="checkbox"/> A FIRST preliminary amendment. <input type="checkbox"/> A SECOND or SUBSEQUENT preliminary amendment. <input type="checkbox"/> A substitute specification. <input type="checkbox"/> A change of power of attorney and/or address letter. <input type="checkbox"/> A computer-readable form of the sequence listing in accordance with PCT Rule 13ter.2 and 35 U.S.C. 1.821 - 1.825. <input type="checkbox"/> A second copy of the published international application under 35 U.S.C. 154(d)(4). <input type="checkbox"/> A second copy of the English language translation of the international application under 35 U.S.C. 154(d)(4). <input type="checkbox"/> Certificate of Mailing by Express Mail <input checked="" type="checkbox"/> Other items or information: | | | | |
| Request for Consideration of Documents Cited in International Search Report/Notice of Priority PCT/IB/304/Drawings (3 Sheets)/PCT/IB/308 Amended Sheets (Pages 25, 26, and 27) | | | | |

| U.S. APPLICATION NO. IF KNOWN, SEE 37 CFR 097926330 | INTERNATIONAL APPLICATION NO. PCT/FR00/00966 | ATTORNEY'S DOCKET NUMBER 214896US0PCT | | | | | | | | | | | | | | | | |
|--|---|--|-------------------------|--------------|------|--------------|-----------|---|-------------------------|--------------------|---------|---|-------------------------|--|--|--------------------------|---------------|--|
| 24. The following fees are submitted: | | CALCULATIONS PTO USE ONLY | | | | | | | | | | | | | | | | |
| BASIC NATIONAL FEE (37 CFR 1.492 (a) (1) - (5)) : | | | | | | | | | | | | | | | | | | |
| <input type="checkbox"/> Neither international preliminary examination fee (37 CFR 1.482) nor international search fee (37 CFR 1.445(a)(2)) paid to USPTO and International Search Report not prepared by the EPO or JPO <input checked="" type="checkbox"/> International preliminary examination fee (37 CFR 1.482) not paid to USPTO but International Search Report prepared by the EPO or JPO <input type="checkbox"/> International preliminary examination fee (37 CFR 1.482) not paid to USPTO but international search fee (37 CFR 1.445(a)(2)) paid to USPTO <input type="checkbox"/> International preliminary examination fee (37 CFR 1.482) paid to USPTO but all claims did not satisfy provisions of PCT Article 33(1)-(4) <input type="checkbox"/> International preliminary examination fee (37 CFR 1.482) paid to USPTO and all claims satisfied provisions of PCT Article 33(1)-(4) | | \$1040.00 \$890.00 \$740.00 \$710.00 \$100.00 | | | | | | | | | | | | | | | | |
| ENTER APPROPRIATE BASIC FEE AMOUNT = | | \$890.00 | | | | | | | | | | | | | | | | |
| Surcharge of \$130.00 for furnishing the oath or declaration later than months from the earliest claimed priority date (37 CFR 1.492 (e)). | | <input type="checkbox"/> 20 <input checked="" type="checkbox"/> 30 \$130.00 | | | | | | | | | | | | | | | | |
| <table border="1" style="width: 100%; border-collapse: collapse;"> <thead> <tr> <th>CLAIMS</th> <th>NUMBER FILED</th> <th>NUMBER EXTRA</th> <th>RATE</th> </tr> </thead> <tbody> <tr> <td>Total claims</td> <td>11 - 20 =</td> <td>0</td> <td>x \$18.00 \$0.00</td> </tr> <tr> <td>Independent claims</td> <td>1 - 3 =</td> <td>0</td> <td>x \$84.00 \$0.00</td> </tr> <tr> <td colspan="2">Multiple Dependent Claims (check if applicable).</td> <td style="text-align: center;"><input type="checkbox"/></td> <td style="text-align: right;">\$0.00</td> </tr> </tbody> </table> | | CLAIMS | NUMBER FILED | NUMBER EXTRA | RATE | Total claims | 11 - 20 = | 0 | x \$18.00 \$0.00 | Independent claims | 1 - 3 = | 0 | x \$84.00 \$0.00 | Multiple Dependent Claims (check if applicable). | | <input type="checkbox"/> | \$0.00 | |
| CLAIMS | NUMBER FILED | NUMBER EXTRA | RATE | | | | | | | | | | | | | | | |
| Total claims | 11 - 20 = | 0 | x \$18.00 \$0.00 | | | | | | | | | | | | | | | |
| Independent claims | 1 - 3 = | 0 | x \$84.00 \$0.00 | | | | | | | | | | | | | | | |
| Multiple Dependent Claims (check if applicable). | | <input type="checkbox"/> | \$0.00 | | | | | | | | | | | | | | | |
| TOTAL OF ABOVE CALCULATIONS = | | \$1,020.00 | | | | | | | | | | | | | | | | |
| <input checked="" type="checkbox"/> Applicant claims small entity status. See 37 CFR 1.27). The fees indicated above are reduced by 1/2. | | \$0.00 | | | | | | | | | | | | | | | | |
| SUBTOTAL = | | \$1,020.00 | | | | | | | | | | | | | | | | |
| Processing fee of \$130.00 for furnishing the English translation later than months from the earliest claimed priority date (37 CFR 1.492 (f)). | | <input type="checkbox"/> 20 <input type="checkbox"/> 30 + \$0.00 | | | | | | | | | | | | | | | | |
| TOTAL NATIONAL FEE = | | \$1,020.00 | | | | | | | | | | | | | | | | |
| Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31) (check if applicable). | | <input type="checkbox"/> \$0.00 | | | | | | | | | | | | | | | | |
| TOTAL FEES ENCLOSED = | | \$1,020.00 | | | | | | | | | | | | | | | | |
| | | Amount to be: refunded \$ charged \$ | | | | | | | | | | | | | | | | |
| a. <input checked="" type="checkbox"/> A check in the amount of <u>\$1,020.00</u> to cover the above fees is enclosed. b. <input type="checkbox"/> Please charge my Deposit Account No. _____ in the amount of _____ to cover the above fees. A duplicate copy of this sheet is enclosed. c. <input checked="" type="checkbox"/> The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit Account No. <u>15-0030</u> A duplicate copy of this sheet is enclosed. d. <input type="checkbox"/> Fees are to be charged to a credit card. WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038. | | | | | | | | | | | | | | | | | | |
| NOTE: Where an appropriate time limit under 37 CFR 1.494 or 1.495 has not been met, a petition to revive (37 CFR 1.137(a) or (b)) must be filed and granted to restore the application to pending status. | | | | | | | | | | | | | | | | | | |
| SEND ALL CORRESPONDENCE TO: | | | | | | | | | | | | | | | | | | |
|  22850 Surinder Sachar Registration No. 34,423 | | | | | | | | | | | | | | | | | | |
|  SIGNATURE Norman F. Oblon NAME 24,618 REGISTRATION NUMBER Oct. 15 2001 DATE | | | | | | | | | | | | | | | | | | |